2023

API Distinguished Pipeline Safety Awards

Award Application

API | American Petroleum Institute

How your Environment, Health and Safety programs programs and performance are leading the industry. It will be equally important to describe your EHS programs' measurable and quantifiable performance results from calendar year 2023.

Due by close-of-business on **March 13, 2024** to pipelineawards@api.org

*If you do not get an acknowledgment of your application receipt by March 20, 2024, please contact **Paul Hartman** at HartmanP@api.org*

General

In recognition of operational excellence and a commitment to continuous improvement, API recognizes its member companies that demonstrate an exemplary level of both occupational and operational performance over the previous calendar year. Three Distinguished Pipeline Safety Awards will be awarded based on company size (select at right). Recipients are chosen based on objective criteria and reviewed by a Selection Team represented by members of the API Environment, Health, and Safety Group (EHSG) and supported by additional pipeline policy groups including the Public Awareness and Engagement Group (PEAG).

It is the Selection Team's responsibility to carefully examine the performance, management systems, and safety culture of the operator utilizing the specific screening and selection criteria. Selection will not be based on statistical metrics alone but will also be determined by the organization's written application which should emphasize pertinent information relative to the organization's implementation of RP 1173 in 2023, role in leading industry initiatives, and participation and sharing best practices amongst the industry.

Throughout your application you should expand beyond a listing or general description of your programs, and and highlight how you distinguish yourself from your peers – this is what the Distinguished Award is meant to recognize. Throughout your application, it is important to describe the innovative, new and impactful programs your company has implemented.

Section 1: General Information

Company

Name: _____

Company Contact

Name: _____

Phone: _____

Alternate Phone: _____

Email: _____

Company Size

(select one option)

❑ Small Operator   < 1.5 million work-hours

❑ Medium Operator   > 1.5 million < 5 million work-hours

❑ Large Operator   > 5 million work-hours

Deadlines and Process

- Applications Due: **March 13, 2024**
- Committee Review: **March - April 2024**

Minimum Requirements

To qualify for a Distinguished Pipeline Safety Award, an operator must meet the following for the calendar year:

- Member of the American Petroleum Institute (API) and/or Liquid Energy Pipeline Association (LEPA)
- No fatality (employee, contractor, public)
- No event that has led to hospitalization of the public
- No OSHA Willful Violation (employees and contractors)
- Company has documented contractor safety performance criteria to qualify contractors to work for the company
- Must complete API Occupational Injuries and Illness (OII) Survey
- Must complete API Pipeline Strategic Data Tracking System (PSDTS) Surveys

Presentation of Awards

The Distinguished Safety Awards will be presented as part of the annual API Pipeline Conference and Expo on May 6, 2024.

Application Submission Requirements

Please complete all information requested in the application to be considered for the award. Application narratives will be limited to two attached pages for each item under Section 3 (for a maximum total of twenty pages for Section 3 narratives) and may include graphs and/or charts as appropriate. All applications must be filled out in this PDF, with appropriate attachments and emailed to pipelineawards@api.org by close-of-business March 13, 2024. Applications will not be accepted in other formats or after the due date.

Disclaimer on Disqualification

API reserves the right to disqualify an application due to potential reputational risk for the Award or the Association.

Section 2: Metrics (60 Points Total)

Please complete Section 1 on your company's 2023 performance based on the metrics listed below. These results should reflect the same results your company currently reports on through other data reporting activities (e.g., PSDTS, OII Survey, etc.). Values will be compared to submissions to API and PHMSA. Should a discrepancy arise, API will utilize officially reported data.

PERFORMANCE — scored by rank in each performance category (with 10 points max) as the following:
1st Quartile: 10 points, **2nd Quartile:** 8 points, **3rd Quartile:** 5 points, **4th Quartile:** 0 point

PERFORMANCE	2023
Number of Incidents per Thousand Miles (PSDTS)	
Barrels Released per Thousand Miles (PSDTS)	
Total OSHA Recordable Incident Rate; Company & Contractor employees (OII Survey)	
2023 Days Away from Work (OII Survey)	
Restricted Work Injuries Rate; Company employees only (OII Survey)	
IPE (PHMSA 7001 metrics – incidents per thousand miles of pipe)	

Section 3: Programs (100 Points Total)

Each question will be evaluated and awarded 1-10 points accordingly. Each question will be worth 10 maximum points. Please respond to each of the ten areas listed below in no more than two attached pages, not to exceed twenty pages in total for the ten narrative responses. Please do not include any photos or other company identifying images (logos, social media posts, etc.) as the applications will be blinded for the selection team review. In developing the narrative responses please do not refer to your company by name, but rather "the company." Again, the information provided to the selection team will be blinded and they will be unaware of the name of the company for reviewing purposes. Please engage internal multi-disciplinary personnel within the company to fully address the questions outlined below.

For each of the categories, please clearly identify the company's leadership on the issues, innovations, or new efforts in calendar year 2022. Please provide three examples for each section (and only three examples). Please do not duplicate examples in succeeding categories. Failure to provide three distinct examples in each section will result in a reduction of total points in that category. For each example, please provide specifics on what your company did during the calendar year that highlights a unique approach, a change in operations from previous years, or that could serve as an example to industry as to how to achieve success in each of the areas.

1. **Leadership Commitment**
   Please provide three specific examples of the company's leadership commitment to the implementation of Pipeline SMS (API RP 1173). Historically, this category has focused on the financial commitment of the company. For this period, please detail specific actions corporate leadership has taken to empower its business units, oversee actions taken within the company, or incentivize continual improvement company-wide.

2. **Safety Culture**
   Describe the top three ways the company improved and/or strengthened its safety culture from the previous year. Please detail the specific objectives, actions taken and identifiable outcomes from safety culture initiatives.

3. **SMS Risk Management**
   Describe the top three improvements to your SMS risk management system in the calendar year 2023. (Improvements to identify top risks for the company; additional algorithms to determine ranking of risks in your periodic review of risks, improvements to your systematic risk assessments and/or specific outcomes of changes implemented to the company's risk management system.) In situations where risk management changes were planned in prior years, but outcomes achieved in 2023, please delineate the changes in outcomes from the previous years.

4.   **Personal or Contractor Safety Improvements**

List and describe the <u>top three</u> personal or contractor safety program improvements the company implemented in 2023 and specific identifiable outcomes of those improvements.

5.   **Integrity Improvements**

List and describe the <u>top three</u> integrity management program improvements (hydrotests, depth of cover, ILI, how are you protecting the pipe, etc.) that were implemented in 2023 that were different from company operations in previous years. Please provide any specific outcomes associated with those improvements.

6.   **Applying Pipeline Safety Emerging Technology**

Please provide <u>three distinct examples</u> of the utilization of new or emerging technologies applied by the company, and/or how you support industry research and development to improve pipeline safety, and how you are sharing that research with the industry. For research and development and development initiatives, please describe your company's direct role in the research initiatives (Is playing a direct role in the research and analysis beyond financial support to third parties?). Please describe where available the impact or outcomes of the utilization of new/emerging technologies or research initiatives.

7.   **Internal & External Incident Learnings**

Describe the <u>top three improvements</u> on how the company is taking in information regarding best practices or responses to incidents. How are you learning from your own internal mistakes and that of others? (Learnings from internal incident investigations, audits and inspections, and external incidents like PIX or NTSB investigations and how are these lessons learned specifically being applied within your organization?

8.   **Sharing Internal lessons learned With the Industry**

Describe <u>three ways</u> the company is sharing lessons learned with industry on things the company has experienced and what the company has learned. (Presenting at PIX, formal shares, conferences, industry bulletins, present real quality content with industry). Examples should identify industry leadership in this area and specify any changes in industry best practices as a result of the company's sharing of lessons learned.

9.   **Stakeholder / Community Engagement**

Please provide <u>three distinct examples</u> of innovative stakeholder/community engagement undertaken by the company in 2023 and the outcomes of that engagement where able. Please consider the engagement of key stakeholders (schools, healthcare facilities, landowners, emergency responders, and contractors) to improve safety and progress of the industry. Please consider highlighting innovative approaches to engagement with environmental justice and tribal communities. Please confine examples of the specific actions taken by the company applying for the award.

10.   **Continuous Safety Management System Improvement**

Describe the <u>top three ways</u> your company is improving its annual SMS assessment and the effectiveness of your Pipeline SMS. Please provide specific details on how these changes resulted in identifiable impacts.

Certification

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SIGNATURE

(Operations Executive or Designee)

  << I CERTIFY THAT THE INFORMATION CONTAINED IN THIS APPLICATION IS CORRECT AND COMPLETE, AND THAT THE COMPANY I REPRESENT MEETS THE MINIMUM REQUIREMENTS CONTAINED ON PAGE 2 OF THIS AWARDS APPLICATION:

_____

DATE

By completing and certifying this application, the company executive or designee permits API and/or LEPA to share information and anecdotes contained herein, as deemed appropriate. Information shared or contained in this application will remain anonymous.