In performing auditing services on behalf of API, you agree that you will at all times:

• Licensees, certified organizations and applicants shall not assist a third party in
  misusing any intellectual property or other property right of API, including, but not
  limited to, copyrights, trademarks, or confidential information.

• Licensees, certified organizations and applicants shall not distribute false information
  about API, its activities, or its members.

• Licensees, certified organizations and applicants shall not distribute false information
  that is designed to prevent or frustrate API employees or representatives, including
  auditors, from performing an evaluation of the licensees’ qualifications.

• Licensees, certified organizations and applicants shall not make false claims of
  API certification or approval or otherwise infringe upon or misuse any intellectual
  property or other property right of API, including, but not limited to, copyrights,
  trademarks, or confidential information.

• Licensees, certified organizations and applicants shall not assist a third party in
  making false claims of API certification or approval or otherwise infringing upon or
  misusing any intellectual property or other property right of API, including, but not
  limited to, copyrights, trademarks, or confidential information.

• Licensees, certified organizations and applicants shall not provide API or its
  representatives, including auditors, with false or misleading information.

• Licensees, certified organizations and applicants shall not violate any program-related
  API policy or any term or condition of the license agreement.

To prevent any potential or perceived conflict of interest, you, your immediate family, or other
members of your household shall not use your position as an auditor to:

• Offer any services to a facility or a company affiliated with a facility that you have audited
  in the past 24 months or that you have been assigned to audit, where such services
  would be provided by yourself, your immediate family members, or a company that you
  or your immediate family members work for, contract with, or otherwise have a direct
  or indirect financial interest in, or any affiliate of such a company. For purposes of this code,
  your immediately family includes, but is not limited to, any member of your household,
  your spouse, your parents, your children, or your siblings.

• Discuss future employment opportunities with any facility or a company affiliated with
  a facility that you have audited in the past 24 months or that you have been assigned to
  audit.

• Accept or solicit from any facility, which you or your company have audited or been
  assigned to audit, any money or anything else of value for yourself, your family,
  your friends, any company or organization in which you or your immediate family member
  has a direct or indirect financial interest, or any affiliate of such a company or organization.

• Avoid any personal relationships, activities, or financial affairs that may influence your
  performance or create the appearance of a conflict of interest or an actual conflict of
  interest.

• Use adequate safeguards to prevent the disclosure of any confidential information.

• Observe high ethical standards of conduct to ensure that the integrity of API’s programs
  is protected.

• Immediately disclose to API any actual, potential or perceived conflicts, and

• Immediately disclose to API any offers of bribes or other improper or unethical
  attempts to influence your decision-making.

API AUDITOR CODE OF ETHICS

CODE OF CONDUCT FOR API MONOGRAM LICENSEES,
APIQR CERTIFIED ORGANIZATIONS AND PROGRAM APPLICANTS

API is committed to maintaining the integrity of its licensing and certification programs. All
licensees, certified organizations and applicants are required to act in a professional
manner in accordance with high ethical standards, and to comply with all licensing and
program requirements at all times. For the purpose of this Advisory, the term “licensees,
certified organizations and applicants” includes all organizations licensed, registered,
certified or under application with the API Monogram™ Program and/or APIQR™, as well as
those organizations’ employees, consultants, and other representatives involved in the API
licensing and certification process.

A failure by a licensee, certified organization or applicant to comply with the following
requirements could result in a delay in the licensing and/or certification process,
cancellation of an application, or termination of an existing license and/or certificate:

• Licensees, certified organizations and applicants shall conduct themselves in a
  professional manner during an audit.

• Licensees, certified organizations and applicants shall observe high standards of
  ethical conduct to ensure that the integrity of the program is protected.

• Licensees, certified organizations and applicants shall not slander, insult, abuse,
harass, or threaten API, its staff members, its auditors, or its or other representatives,
either verbally or in written correspondence.

• Licensees, certified organizations and applicants shall not engage in any conduct
  that is designed to prevent or frustrate API employees or representatives, including
  auditors, from performing an evaluation of the licensees’ qualifications.

• Licensees, certified organizations and applicants shall not make false claims of
  API certification or approval or otherwise infringe upon or misuse any intellectual
  property or other property right of API, including, but not limited to, copyrights,
  trademarks, or confidential information.

• Licensees, certified organizations or applicants shall not assist a third party in
  making false claims of API certification or approval or otherwise infringing upon or
  misusing any intellectual property or other property right of API, including, but not
  limited to, copyrights, trademarks, or confidential information.

• Licensees, certified organizations and applicants shall not provide API or its
  representatives, including auditors, with false or misleading information.

• Licensees, certified organizations and applicants shall not violate any program-related
  API policy or any term or condition of the license agreement.

• Licensees, certified organizations and applicants shall not use a false identity for the
  purpose of misleading others.

• Licensees, certified organizations and applicants shall not imply that API is endorsing
  their products.

• Licensees, certified organizations and applicants shall not distribute false information
  about API, its activities, or its members.

• Program Participants shall not contact or otherwise communicate with auditors after
  the closing meeting of an audit. All post-audit communications will be between the
  client and API.

• Licensees, certified organizations and applicants shall not offer or provide any form
  of bribe or anything else of value to any API staff member, API auditor, other API
  representative, or API staff member’s, auditor’s or other representative’s family or
  friends, directly or indirectly. ‘Anything else of value’ includes, but is not limited to,
cash, gifts, hospitality, services, commission, rebates, discounts, loans, vacations, event
tickets, entertainment trips, or other items of value. A ‘financial interest’ includes any ownership stake, employment
agreement, or independent contracting or consulting arrangement.

• Accept or solicit from any facility, which you or your company have audited or been
  assigned to audit, any money or anything else of value for yourself, your family,
  your friends, any company or organization in which you or your immediate family member
  has a direct or indirect financial interest, or any affiliate of such a company or organization.

• Avoid any personal relationships, activities, or financial affairs that may influence your
  performance or create the appearance of a conflict of interest or an actual conflict of
  interest.

• Use adequate safeguards to prevent the disclosure of any confidential information.

• Observe high ethical standards of conduct to ensure that the integrity of API’s programs
  is protected.

• Immediately disclose to API any actual, potential or perceived conflicts, and

• Immediately disclose to API any offers of bribes or other improper or unethical
  attempts to influence your decision-making.